

Interim assessment report of identified high-risk situations of the Risks of Corruption and Related Offences Prevention Plan

[Pursuant to Article 6(4)(a) of the General Corruption Prevention Framework,
annexed to Decree-Law no. 109-E/2021 of 9 December]

A. Reference period	
Start date	25.10.2023
End date	30.10.2024

B. Assessment of situations rated as high/maximum risk in the Risks of Corruption and Related Offences Prevention Plan ("RPP")

In accordance with the provisions of Article 6(4)(a) of the General Corruption Prevention Framework, annexed to Decree-Law no. 109-E/2021 of 9 December, the interim assessment report focuses on situations identified as high or maximum risk in the RPP.

The RPP categorised the following activities as high or maximum risk:

Activity / Area	Assigned risk classification	Are the measures provided for in the RPP implemented?	Effectiveness of the measures	Residual risk ¹	Corrective measures to be adopted
Offer of business courtesies	15	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Compliant	Low	N/A
Receipt of business courtesies	12	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Compliant	Low	N/A
Purchase of raw materials / BU raw materials	12	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Compliant	Low	N/A
Purchase of raw materials - cork / Cork Stoppers BU	12	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Compliant	Low	N/A
Investment negotiation / Procurement	12	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>	Compliant	Low	N/A
		YES	NO	Observations² (must be filled in if the answer is no, including an explanation of the reasons and the measures to be taken)	
a) Are the measures provided for in the RPP still adequate and up-to?		<input checked="" type="checkbox"/>	<input type="checkbox"/>	N.A.	

¹ It is the risk that persists after taking into account all the mitigating (preventive and corrective) measures that have been defined to mitigate the identified risks of each area of activity.

² Fill in N/A when not applicable.

b) Have you become aware of any fact or occurrence that contributes to a change in the classifications in the RPP or that justifies a change in the existing preventive and corrective measures?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	N.A.
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C. Additional information

Following the provisions of Law no. 93/2021 of 20 December, Corticeira Amorim has strengthened its compliance with the obligations set out therein by implementing a system for receiving and tracking internal complaints, by means of a computer platform that can be extended to all Group companies, which can be found at <https://corticeiraamorim.integrityline.com/>.



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